

Please type a plus sign (+) inside this box ☒

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No.

ASP-41

First Inventor

Paul Jacobs

Title

APPARATUS AND PROCESS FOR CONCENTRATING A
LIQUID STERILANT AND STERILIZING ARTICLES
THEREWITH

Express Mail Label No.

EL691440955US

Only for new nonprovisional applications under 37 CFR
1.53(b)

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application
contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification [Total Pages 18]
(Preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a
computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages 3]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. ☐ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a
preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: 09/223,479, filed
December 30, 1998. Prior application information: Examiner E. McKane Group Art Unit: 1744
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an
oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying
continuation or divisional application and is hereby incorporated by reference. The incorporation can only be
relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **000027777** or ☐ Correspondence Address below

Name: Philip S. Johnson, Esq.

Address: Johnson & Johnson
One Johnson & Johnson Plaza
New Brunswick, NJ 08933-7003 USA

20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to Andrew C. Farmer at:

Telephone: (732) 524-2825 Fax: (732) 524-2808

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME Andrew C. Farmer

Reg. No. 35,868

SIGNATURE

DATE October 11, 2001

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. ☐ Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement

(IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Request and Certifications under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent.

17. ☐ Other

10/11/01

09975714-101101

U.S. PTO
09/223,479
10/11/01

| | | |
|------------------------|--------------------------|-------------|
| FEE TRANSMITTAL | <i>Complete if Known</i> | |
| | Application Number | |
| | Filing Date | |
| | First Named Inventor | Paul Jacobs |
| | Group Art Unit | 1744 |
| | Examiner Name | E. McKane |
| | Attorney Docket Number | ASP-41 |

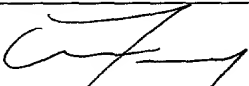
FEE CALCULATION

CLAIMS AS FILED

| (1) | (2) | (3) | (4) | (5) |
|---------------------------|--------------------------|--------------|------------|-----------------------|
| FOR: | NUMBER FILED | NUMBER EXTRA | RATE | BASIC FEE \$710.00 |
| TOTAL CLAIMS | 20 - 20 = | 0 | x 18.00 | \$ 0.00 |
| INDEPENDENT CLAIMS | 1 - 3 = | 0 | x 80.00 | \$ 0.00 |
| MULTIPLE DEPENDENT CLAIMS | <input type="checkbox"/> | N/A | \$270.00 | |
| | | | TOTAL FEES | \$ 710.00 |

METHOD OF PAYMENT

- ☒ Please charge Deposit Account No. 10-0750/ASP41/ACF in the amount of \$710.00.
Three copies of this sheet are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/ASP41/ACF. Three copies of this sheet are enclosed.

| | | |
|-----------------------|---|------------------------------------|
| SUBMITTED BY: | | <i>Complete (if applicable)</i> |
| Typed or Printed Name | Andrew C. Farmer | Reg. No. 35,868 |
| Signature |  | Deposit Account No. 10-0750 |
| | Date: October //, 2001 | |

FOT-41-45-650

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul Jacobs, Szu-Min Lin and Jenn-Hann Wang

For: APPARATUS AND PROCESS FOR CONCENTRATING A LIQUID STERILANT
AND STERILIZING ARTICLES THEREWITH

Express Mail Certificate

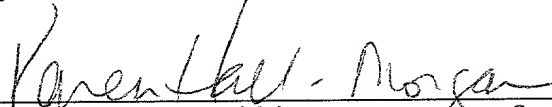
"Express Mail" mailing number EL691440955US

Date of Deposit October 11, 2001

I hereby certify that this Continuation Application of prior application No. 09/223,479, filed December 30, 1998 (JJM-408), including specification pages, claims, drawings, Declaration and Power of Attorney(signed, from previous application), Preliminary Amendment and Transmittal Letter is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to BOX PATENT APPLICATION, Assistant Commissioner of Patent and Trademarks, Washington, DC 20231

Karen Hall-Morgan

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

09/223,479-101101